

06/29/01

Please type a plus sign (+) inside box → [ + ]

07-02-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	8610
First Inventor	Olaf Isele
Assignee	The Procter & Gamble Company
Title	Top-Biased Beneficial Components on Substrates
Express Mail Label No.	EE829912819US

PTO  
09/095027  
J0971 U.S.

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- ☐ Applicant claims small entity status  
(see 37 CFR §1.27)
- ☒ Specification Total Pages [25]  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 USC §113) Total Sheets [2]
- Oath or Declaration Total pages [2]
  - ☒ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 18 complete)
    - ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR §1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ Paper
  - ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other: .....

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in the preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 1

Prior application information:

Examiner: \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS ONLY**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

NAME	Kirsten K. Stone				
	The Procter & Gamble Company				
	Sharon Woods Technical Center				
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Name (Print/Type)	Kirsten K. Stone	Registration No. (Attorney/Agent)	42,129
Signature	<i>Kirsten K. Stone</i>	Date	June 29, 2001

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	
Confirmation Number	
Filing Date	June 29, 2001
First Named Inventor	Olaf Isele
Examiner Name	
Group/Art Unit	
Attorney Docket No.	8610

**TOTAL AMOUNT OF PAYMENT (\$710)****METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **16-2480**Deposit Account Name **The Procter & Gamble Company**Charge Any Additional Fee Required Under status. See 37 CFR §127  
37 C.F.R. §§1.16 and 1.17**FEE CALCULATION****1. BASIC FILING FEE – Large Entity**

Code (\$)	Fee Description	Fee Paid
101 710	Utility filing fee	710
106 320	Design filing fee	
107 490	Plant filing fee	
108 710	Reissue filing fee	
114 150	Provisional filing fee	

**SUBTOTAL (1) (\$710)****2. EXTRA CLAIM FEES – Large Entity**

		Extra	Below	Fee
		Claims	Fee	Paid
Total Claims	20 - 20** =	0 x		
Independent Claims	3 - 3** =	0 x		
Multiple Dependent				

\*\* or number previously paid, if greater; For Reissues, see below

Code (\$)	Fee Description
103 18	Claims in excess of 20
102 80	Independent claims in excess of 3
104 270	Multiple dependent claim, if not paid
109 80	**Reissue independent claims over original patent
110 18	**Reissue claims in excess of 20 & over original patent

**SUBTOTAL (2) (\$0)****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Code (\$)	Fee Description	Fee Paid
105 130	Surcharge-late filing fee or oath	
127 50	Surcharge-late provisional filing fee or cover sheet	
139 130	Non-English specification	
147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	Requesting publication of SIR prior to Examiner's action	
113 1,840*	Requesting publication of SIR after Examiner's action	
115 110	Extension for reply within 1 <sup>st</sup> month	
116 390	Extension for reply within 2 <sup>nd</sup> month	
117 890	Extension for reply within 3 <sup>rd</sup> month	
118 1,390	Extension for reply within 4 <sup>th</sup> month	
128 1,890	Extension for reply within 5 <sup>th</sup> month	
119 310	Notice of Appeal	
120 310	Filing a brief in support of an appeal	
121 270	Request for oral hearing	
138 1,510	Petition to institute a public use proceeding	
140 110	Petition to revive - unavoidable	
141 1,240	Petition to revive - unintentional	
142 1,240	Utility issue fee (or reissue)	
143 440	Design issue fee	
144 600	Plant issue fee	
122 130	Petitions to the Commissioner	
123 50	Petitions related to provisional applications	
126 240	Submission of IDS per property (times number of properties)	
146 710	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	For each additional invention to be examined (37 CFR §1.129(b))	
179 710	Request for Continued Examination (RCE)	
169 710	Request for expedited examination of a design application	
	Other fee (specify)	
	Other fee (specify)	

\* Reduced by Basic Filing Fee Paid **SUBTOTAL(3) (\$)****SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Kirsten K. Stone	Registration No. (Attorney/Agent)	42,129	Telephone	(513) 626-1159
Signature	<i>Kirsten K. Stone</i>	Date	6/29/01		

WARNING: Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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